

Illinois Commerce Commission



Annual Report

Transportation Regulatory Fund Fiscal Year 2012

Printed by Authority of the State of Illinois
November 8, 2012



ILLINOIS COMMERCE COMMISSION

November 8, 2012

The Honorable Pat Quinn
Governor
207 State House
Springfield, IL 62706

Dear Governor Quinn:

In compliance with Section 18c-1604 of the Illinois Commercial Transportation Law (625 ILCS 5/18c-1604), the Illinois Commerce Commission is submitting an annual report of expenditures from the Transportation Regulatory Fund.

This report contains information pertaining to all monies deposited in the Transportation Regulatory Fund, all expenditures from the Transportation Regulatory Fund, a listing and description by function of all staff positions and the methods used to allocate expenses between the Transportation Regulatory Fund and other funds.

Sincerely,

A handwritten signature in black ink that reads "Douglas P. Scott".

Douglas P. Scott
Chairman

Illinois Commerce Commission
Transportation Regulatory Fund

Annual Report for Expenditures

For Fiscal Year 2012

Section 18c-1604 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1604, requires the Illinois Commerce Commission to submit to the Governor and the General Assembly an annual report of expenditures from the Transportation Regulatory Fund. Section 18c-1604 states as follows:

“Annual Report of Expenditures. The Commission shall, within 60 calendar days after the end of the lapse period for each fiscal year, submit to the Governor and the General Assembly a report of the following for such fiscal year:

- (1) All monies deposited in the Transportation Regulatory Fund, showing the total and subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (2) All expenditures from the Transportation Regulatory Fund, showing the total and the subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (3) A listing and description by function of all staff positions actually funded, in whole or in part, at any time during the fiscal year, from the Transportation Regulatory Fund; and
- (4) The methods used to allocate expenses between the Transportation Regulatory Fund and other funds, and between classes within the Transportation Regulatory Fund.”

Subsection (2) of Section 18c-1601 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1601, requires that the Commission “account separately for monies from the following classes:

- (a) motor carriers of property (other than carriers engaged in non-relocation towing);
- (b) rail carriers; and
- (c) other monies.”

This report is provided in accordance with the above stated requirement.

625 ILCS 5/18c
Section 1604
Requirement (1)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2012 Income

<u>INCOME ACCOUNT CODE</u>	<u>TOTAL</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Intrastate Authority Franchise Fees	147,933	147,933	
Intrastate Authority Applications	120,350	120,350	
Interstate Stamps/Receipts	3,516,993	3,516,993	
Safety Relocator Application/Registrations	733,950	733,950	
Petition to Reinstate License	3,600	3,600	
Transfers	1,350	1,350	
Lease Agreement	95,505	95,505	
Exemption Certificates	6,500	6,500	
Copying	332	332	
Gross Revenue Tax-Railroads	453,371		453,371
Miscellaneous Fees	5,918	5,918	
Relocator License Fees	28,800	28,800	
Relocator Tow Record/Invoice	880,450	880,450	
Operator License Fees	28,500	28,500	
Dispatcher License Fees	5,460	5,460	
Administrative Citations	526,545	526,545	
Civil Penalties	96,117	96,117	
Broker's License	700	700	
Railroad Route Miles	311,752		311,752
Railroad Crossing	280,420		280,420
Grade Crossing Protection Fund	3,000,000		3,000,000
IL Dept of Transportation Reimbursement	116,476		116,476
Warehouse License	52,800	52,800	
Warehouse Facility License	17,400	17,400	
 TOTAL	 10,431,222	 6,269,203	 4,162,019

NOTE:

The above table includes funds received by the Commission during FY 2012 which may not match the deposit amounts shown by the Comptroller's Office for FY 2012. Any differences are due to deposits in-transit between the Commission and the Comptroller's Office. The above figures do not include revenues collected on behalf of other states in the Unified Carrier Registration Program.

625 ILCS 5/18c
Section 1604
Requirement (2)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2012 Expenditures

<u>LINE ITEM</u>	<u>TOTAL EXPENDITURES</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Personal Services	5,880,089	2,536,492	3,343,957
Retirement	2,013,474	868,402	1,145,072
Social Security	386,748	139,558	247,190
Group Insurance	1,334,693	655,746	678,947
Contractual Services	529,749	275,934	253,815
Travel	69,465	15,986	53,479
Commodities	12,395	6,998	5,397
Printing	10,934	7,272	3,662
Equipment	78,183	25,887	52,296
Electronic Data Processing	97,572	41,807	55,765
Telecommunications	128,311	90,876	37,435
Operation of Auto	147,890	75,405	72,485
Lump Sum	29,907		29,907
Refunds	5,666	4,868	798
 TOTAL	 10,725,076	 4,745,231	 5,979,845

The FY 2012 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode as shown above. These expenditures do not include the amount remitted to the states from the Unified Carrier Registration Program.

625 ILCS 5/18c
Section 1604
Requirement (3)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund

The listing below represents all Commerce Commission employees by job titles that were funded from the Transportation Regulatory Fund in FY 2012. This listing also provides a brief description of the major responsibilities of each job title and is sorted in Bureau order.

Office of the Executive Director

Executive Director	Manages the agency's affairs by planning, coordinating and implementing the policies of the agency in an effective and efficient manner
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Manager- Human Resources	Plans, directs and coordinates the Human Resources function of the Commission
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Bureau of External Affairs

Director - Office of Public Affairs	Directs the activities of the Office of Public Affairs
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Legislative Liaison II	Assists with the administration of the governmental and legislative program; maintains cooperative working relationships with representatives of governmental agency management, officials, community and civic organizations and representatives of various branches of legislation.
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Bureau of Planning and Operations

Director - Administrative Services	Directs the activities of the Administrative Services Division
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Director - Information Technology Services	Directs the activities of the Information Technology Services Division
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Reproduction Service Supervisor I	Serves as lead worker to print shop/mailroom staff and oversees the acquisition and distribution of supplies
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Senior Financial & Budget Assistant	Performs technical and administrative duties related to monitoring the Grade Crossing Protection Fund as well as supervise and administer the Tax Processing function of the Financial Information Section
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Information Systems Analyst II (3 positions)	Provides analysis of complex business operations and develops requirements and specifications for the creation of client/server and/or internet based computer systems
Information Systems Analyst III (2 positions)	Serves as a highly advanced specialist in planning and implementing complex and sophisticated interface information technology infrastructures and similar functions of major scope and impact
Information Services Specialist II	Performs general and routine professional support for computer equipment and associated software
<u>Transportation Division</u>	
Bureau Chief	Manages the Transportation Division
Administrative Assistant I	Provides administrative support to the Transportation Bureau Chief's Office
Technical Advisor II (2 positions)	Staff attorney, represents Division in court and before the Commission
Chief of Police	Oversees the Commission's police activities including on-the-road enforcement and administrative staff functions
General Services Administrator I	Provides administrative support for statewide Commission enforcement program
Administrative Assistant I (2 positions)	Provides administrative support for Commission enforcement program
Compliance Specialist	Provides compliance education to the industry and shippers
Transportation Investigator II (2 positions)	Conducts investigations of relocation towing companies, non-sworn
Transportation Investigator I	Conducts investigations of relocation towing companies, non-sworn
Police Officer II (7 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers

Transportation Industry Analyst III	Performs staff functions related to the household goods carrier enforcement program including tariffs, audit and dispute resolution
Manager - Review and Examination	Directs transportation hearings program
Administrative Assistant I	Provides administrative support to the hearings program
Administrative Law Judge IV	Hears cases for household goods carrier authority, relocation towing licenses and railroad crossing improvement petitions
Manager - Processing and Information	Provides management of the motor carrier registration program
Transportation Industry Customer Service Representative II (2 positions)	Provides services to the public, transportation and insurance industries consistent with the clerical and technical tasks related to various laws, rules and regulations involved in the regulation of motor carriers
Transportation Industry Customer Service Representative I (4 positions)	Provides customer service to the motor carrier industry regarding the issuance of licenses, registrations and credentials, filing of leases and the maintenance of liability insurance
Rail Safety Program Administrator	Directs the Railroad Safety Program
Executive Secretary II	Provides secretarial and administrative support to the Railroad Safety Program
Office Specialist	Provides secretarial and administrative support to Railroad Safety Program
Railroad Safety Specialist IV (6 positions)	Provide staff work related to the design, installation and maintenance of railroad warning and traffic warning signal systems, investigation of crossing collisions and incidents
Railroad Safety Specialist III (8 positions)	Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations, conduct crossing signal and surface inspections and conduct Operation Lifesaver Public Education Program

Railroad Safety Specialist III

Conduct inspections to determine railroad company compliance with operating practice standards

Railroad Safety Specialist III
(2 positions)

Conduct inspections of railroad equipment and facilities carrying or handling hazardous materials

Railroad Safety Specialist III
(3 positions)

Conduct inspections of mainline track operated by registered rail carriers

625 ILCS 5/18c
Section 1604
Requirement (4)

Allocation of Expenditures
Between the Transportation Regulatory Fund
and Other Funds

Section 18c-1603(2)(b) of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1603(2)(b), details the requirements for expenses allocated partially or entirely to the Transportation Regulatory Fund.

“(2) Allocation of Expenses to the Fund.

(b) Expenses Partially Allocated Entirely to the Transportation Regulatory Fund.

A portion of expenses for the following persons and activities may be allocated to the Transportation Regulatory Fund:

- (i) The Executive Director, his deputies and personal assistants, and their clerical support;
- (ii) The legislative liaison activities of the Office of Legislative Affairs, its constituent elements and successors;
- (iii) The activities of the Bureau of Planning and Operations on the effective date of this amendatory Act of the 94th General Assembly, exclusive of the Chief Clerk’s office;
- (iv) The payroll expenses of Commissioners’ assistants;
- (v) The internal auditor;
- (vi) The in-state travel expenses of the Commissioners to and from the offices of the Commission; and
- (vii) The Public Affairs Group, its constituent elements, and its successors.

- (c) Allocation Methodology for Expenses Other Than Commissioners’ Assistants. The portion of the total expenses (other than commissioners’ assistants’ expenses) allocated to the Transportation Regulatory Fund under paragraph (b) of this subsection shall be the portion of staff time spent exclusively on administration and enforcement of this Chapter and Chapter 18a, as shown by a time study updated at least once each 6 months. (For FY 2012 the percentage allocated to the Transportation Regulatory Fund was 30%.)

...

- (e) Allocation methodology for Commissioners’ Assistants Expenses. Five percent of the payroll expenses of commissioners’ assistants may be allocated to the Transportation Regulatory Fund.”

Allocation of Expenditures Between Classes
Within the Transportation Regulatory Fund

The Motor Carriers of Property, Rail Carriers, and Other Modes

Actual expenditures were charged to the various modes within the transportation fund when identifiable. Where expenditures were not attributable to a specific mode, allocations were determined and applied to these expenditures.